EXHIBIT 10

Activity Statement

February 1, 2015 - February 28, 2015

SUSTrader

Account Information

Name Account Alias

Master Name

Account Type

Customer Type Account Capabilities

Trading Permissions

Base Currency

SOU CHENG LAI

2615-16453506-Iscmar824-marg 2615

Sun Hung Kai Investment Services Limited

Individual

Reg T Margin

Stocks, Warrants, Forex

Net Asset Value

	January 31, 2015		February 28, 2015			Change in NAV	Total
	Total	Long	Short	Total	Change	Starting Value	0.00
	0.00	0.00	-402,376.48	-402,376.48	-402,376.48	Mark-to-Market	16,124.31
∜ .	0.00	795,624.00	0.00	795,624.00	795,624.00	Deposits & Withdrawals	377,776.62
est Accruals	0.00	0.00	-98.90	-98.90	-98.90	Change in Interest Accruals	-98.90
Total	0.00	795,624.00	-402,475.38	393,148.62	393,148.62	Commissions	-653.41
Time Weighted Rate of Return					5.68%	Ending Value	393,148.62

Mark-to-Market Performance Summary

		Quanti	ity	Price				Mark-to-Market P/L		
Symbol	į	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total
Stocks										
ALTR	i	0	8,400	-	37.0100	4,070.00	1,070.30	-253.41	0.00	4,886.89
FSL		0	9,000		36.1100	15,120.00	684.01	-255.00	0.00	15,549.01
SYF	1	0	5.000		31.9500	-5,800.00	980.00	-145.00	0.00	-4,965.00
Total					Į.	13,390.00	2,734.31	-653.41	0.00	15,470.90
Forex										
USD		0.00	-402,376.48	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00
Total					1	0.00	0.00	0.00	0.00	0.00
Total (Ali Assets)					fi .	13,390.00	2,734.31	-653.41	0.00	15,470.90

Generated: 2015-03-18, 14:07:02 EDT

Page: 1

	1			Realized					Unrealiz	ba			
Symbol	Cost Adj.	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Lo	ss L/T	Profit	L/T Loss	Total	Tota
Stocks									ALLEN OF THE STREET				
LTR	0.00	572.59	0.00	0.00	0.00	572.59	4,316.30	-2 .	00	0.00	0.00	4,314.30	4,886.8
SL	0.00	0.00	0.00	0.00	0.00	0.00	15,549.01	0.	00	0.00	0.00	15,549.01	15,549.0
SYF	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,965.	00	0.00	0.00	-4,965.00	-4,965.0
Total	0.00	572.59	0.00	0.00	0.00	572.59	19,865.31	-4,967.	00	0.00	0.00	14,898.31	15,470.9
Total (All Assets)	0.00	572.59	0.00	0.00	0.00	572.59	19,865.31	-4,967.	00	0.00	0.00	14,898.31	15,470.9
Cash Report													
						To	tal			Securities			Future
ase Currency Summary							00			0.00			
Starting Cash							00 41			0.00 -653.41			0.0 0.0
Commissions Account Transfers						-653. 377,776.				-053.41 377,776.62			0.0
Net Trades (Sales)						22,194.			•	22,194.00			0.0
Net Trades (Purchase)						-801,693			-1	301,693.69			0.0
inding Cash						-402,376				102,376.48			0.0
Ending Settled Cash						-240,170				240,170.48			0.0
pen Positions													
Symbol		Qu	antity	Mult Cos	l Price	Cost Basis		Close Price		V	alue	Unrealized P	/L Cod
Stocks			-										
JSD													
NLTR		1	3,400		96393	306,569.70		37.0100		310,88		4,314.3	
SL			9,000		82332	309,440.99		36.1100		324,99		15,549.0	
SYF		:	5,000	1 32	2.9430	164,715.00		31.9500)	159,75		-4,965.0	
Total •						780,725.69				795,62	4.00	14,898.3	31
Trades													
Symbol	Date/Ti	me		Quanti	y T. Price	C. Prie	ce Pr	oceeds Co	nm/Foo	Basis	Realized F	VL MTM F	VL Cod
Stocks													
JSD													
LTR		2-17, 14:22:29		1,00				,000.000	-30.00	36,030.00		00 240.	
LTR		2-23, 10:27:28		3,00				537.70	-85.00	107,622.70		00 192.	
ALTR		2-27, 13:01:01		5,00					-135.00	184,535.00		00 650.	
LTR	2015-0	2-27, 15:51:27		-60	0 36.9900	37.010	00 22,	194.00	-3.41	-21,618.00			
Total ALTR				8,40			-305,		-253.41	306,569.70	572.	59 1,070.	

3,000

3,000

3,000

34.411997

34.3500

34.3000

-103,235.99

-103,050.00

-102,900.00

34.4300

34.4300

34.4300

-85.00

-85.00

-85.00

103,320.99

103,135.00

102,985.00

Activity Statement - February 1, 2015 - February 28, 2015

2015-02-11, 12:06:08

2015-02-11, 12:14:51

2015-02-11, 12:22:12

FSL

FSL

FSL

Page. 2

P;0 0

P;O

54.01

240.00

390.00

0.00

0.00

0.00

Trades										
Total FSL		9,000			-309,185.99	-255.00	309,440.99	0.00	684.01	
SYF	2015-02-10, 13:06:04	2,000	32.8000	33.1100	-65,600.00	-60.00	65,660.00	0.00	620.00	P:O
SYF	2015-02-10, 14:51:05	3,000	32.9900	33.1100	-98,970.00	-85.00	99,055.00	0.00	360.00	0
Total SYF		5,000			-164,570.00	-145.00	164,715.00	0.00	980.00	
Total					-779,499.69	-653.41	780,725.69	572.59	2,734.31	

Interest Accruals	Dep	Deposits & Withdrawals			
Base Currency Summary	Date	Description	Amount		
Starting Accrual Balance 0.0	00 USD				
Interest Accrued -98.9	0 2015-0	02-09 Internal Transfer In From Account 176	34640 253,573.60		
Accrual Reversal 0.0	00 2015-0	02-18 Internal Transfer In From Account 176	54640 124,203.02		
Ending Accrual Balance -98.9	90 Tota	1	377,776.62		

Contract Information	n			
Symbol	Description	Conid	Security ID	Multiplier
Stocks				
ALTR	ALTERA CORP	266088		1
	FREESCALE SEMICONDUCTOR LTD	88424482	BMG3727Q1015	1
	SYNCHRONY FINANCIAL	162231797		1

Codes			
Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	М	Entered manually by Broker
AI	Allocation	MEx	Manual exercise for dividend-related recommendation.
Aw	Away Trade	ML	Maximize Losses tax basis election
В	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Во	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
С	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL.	Maximize Short Term Loss tax basis election
Ca	Cancelled	o	Opening Trade
Co	Corrected Trade	P	Partial Execution
Сх	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Pl	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ер	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
нс	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal

Activity Statement - February 1, 2015 - February 28, 2015

Page. 3



Codes

Code	Mosning	Code (Cent.)	Meaning (Cont.)
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursoment
HFR	Redemption from Hodge Fund	SI	This order was solicited by Interactive Brokers
1	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	so	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	ss	Customor designated this trade for shortened settlement and so is subject to exocution at prices above the prevailing market
L.	Ordered by Broker (Margin Violation)	ST	Short Term P/L
ŁO	Adjusted by Loss Disallowed from Wash Sale	 T	Transfer
LI	Last In, First Out (LIFO) tax basis election	1	

Notes/Legal Notes

Legal Notes

Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Sun Hung Kai Investment Services Limited.



Notes/Legal Notes 1)Explanations of Abbreviations shown on this statement: a)NYSE = New York Stock Exchange; b) NYSE AMEX = American Stock Exchange; c) ATD = Automated Trading Dock; d) BTRADE = Bloomberg Tradebook; e) BOX = Boston Options Exchange; b) CSX = EQS Stock Exchange; c) ATD = Automated Trading Dock; e) BOX = Boston Options Exchange; b) CSX = EQS Stock Exchange; c) CSX = EQS Stock Exchange; c) CSX = EQS Stock Exchange; c) CSX = EQS Stock Exchange; d) EQS = EQS Stock Exchange; d) EQS = EQS Stock Exchange; d) EQS = EQS

c) Special margin ratio may be applied to a specific security due to small market capitalization or small issue size, low liquidity in the collective primary/ secondary exchanges or involvement in tenders and other corporate action. Please contact Sun Hung Kai Investment Services Limited (SHKIS) for special margin stocks.

5) This statement also serves as a receipt by SHKIS of client assets which have been deposited into the account shown at the (Account Information) section of this statement.

6) Credit Limit: the credit limit of each client account is US\$250,000 by default (and is subject to revision by SHKIS from time to time), or other amount as approved by SHKIS

7) Please examine this statement immediately. Unless errors are reported within seven days, this statement will be considered as correct.

8) If you suspect that fraudulent activity or any irregularity has occurred with respect to your account(s), you can call our Anti-Fraud Helpline at (852)3920 2980.

9) This statement also serves as a receipt required by Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.

Address and principal place of business of Sun Hung Kai Investment Services Limited (CE. No.: AAC153)

42/F, The Lee Gardens, 33 Hysan Avenue, Causeway Bay, Hong Kong Tel: (852) 2822 5001

Special Notes for Monthly Statements of Margin Accounts

(iii) Reg T End of Day Margin = 50% x Stock Value. Note: Leverage Factor = 1/Margin Ratio

(a) You have provided SHKIS with a Standing Authority as defined in section 2 of the Securities and Futures (Client Securities) Rules (Cap. 571 sub. Leg. H), which has not been revoked, authorizing SHKIS to repledge securities collateral provided by you or on your behalf, and

(b) SHKIS has repledged its securities collateral during this monthly accounting period SIPC Member

Activity Statement - February 1, 2015 - February 28, 2015

?



Activity Statement

March 1, 2015 - March 31, 2015

Account Information

Name

Account Alias

Account Master Name

Account Type

Customer Type
Account Capabilities

Trading Permissions

Base Currency

SOU CHENG LAI

2615-16453506-lscmar824-marg

2615

Sun Hung Kai Investment Services Limited

Broker Client

Individual

Reg T Margin

Stocks, Warrants, Forex

USD

Net Asset Value

	February 28, 2015		March 31, 2015				
	Total	Long	Short	Total	Change	Change in NAV	Total
Cash	-402,376.48	0.00	-1,198,643.74	-1,198,643.74	-796,267.26	Starting Value	393,148.62
Stock	795,624.00	2,381,505.00	0.00	2,381,505.00	1,585,881.00	Mark-to-	331,701.31
rest Accruals	-98.90	0.00	-742.20	-742.20	-643.30	Market	331,701.31
al	393,148.62	2,381,505.00	-1,199,385.94	1,182,119.06	788,970.44	Deposits & Withdrawals	459,826.15
Time Weighted R	ate of Return	AND THE PARTY OF T			43.34%	Interest	-129.16
	allia urga nata ha anno E a risulman sen urana amendenia amendenia minimistra	And the second s	AND THE PERSON NAMED OF PARTY OF THE PERSON			Change in	
						Interest	-643.30
						Accruals	

Mark-to-Market	Performance	Summary

?

-1,784.56

1,182,119.06

Commissions Ending Value

Quantity			Price						
Symbol	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total
Stocks									
ALTR	8,400	55,500	37.0100	42.9100	294,329.00	7,161.84	-1,434.56	0.00	300,056.28
FSL	9,000	0	36.1100		38,250.00	-5,940.00	-215.00	0.00	32,095.00
SYF	5,000	0	31.9500		-3,750.00	1,650.47	-135.00	0.00	-2,234.53
Total				.	328,829.00	2,872.31	-1,784.56	0.00	329,916.75
Forex				•					
USD	-402,376.48	-1,198,643.74	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00
Total				İ	0.00	0.00	0.00	0.00	0.00
Total (Al	l Assets)			1	328,829.00	2,872.31	-1,784.56	0.00	329,916.75
Inte	erest Paid and Rec	eived		•					-129.16
(I P/I	L for Statement Pe	eriod							329,787.59

Rea	alized & U	nrealized	Performa	ınce Summ	ary								f
				Realized					nrealized				
5	Cost Adj.	S/T Profit	S/T Los	is L/T Profit	L/T Loss	Total	S/T Pro	ofit S/T Loss	L/T Profit	L/T Loss	Tot	al	Total
Stores	•	'					•					•	
ALTR	0.00	70.27	-2,301.7	4 0.00	0.00	-2,231.47	307,239.	20 -637.15	0.00	0.00	306,602.0	5 304,3	70.58
FSL	0.00	47,644.01	0.0	0.00	0.00	47,644.01	0.	00.00	0.00	0.00	0.0	0 47,6	44.01
SYF	0.00	0.00	-7,199.5	3 0.00	0.00	-7,199.53	0.	00.00	0.00	0.00	0.0	0 -7,1	99.53
Total	0.00	47,714.28	-9,501.2	7 0.00	0.00	38,213.01	307,239.	20 -637.15	0.00	0.00	306,602.0	5 344,8	15.06
Total (Assets	0.00	47,714.28	-9,501.2	7 0.00	0.00	38,213.01	307,239.	20 -637.15	0.00	0.00	306,602.0	5 344,8	15.06
Cas	sh Report												?
-								Total	***************************************	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Securities	Fı	utures
Base Cui	rrency Summ	ary											
Starting	Cash						-40	02,376.48		-4	02,376.48		0.00
Comm	issions						-	-1,784.56			-1,784.56		0.00
Accour	nt Transfers						45	59,826.15		4	59,826.15		0.00
Broker	Interest Paic	l and Receive	ed					-129.16			-129.16		0.00
Net Tra	ades (Sales)							20,419.42			20,419.42		0.00
Net Tra	ades (Purcha	se)					-1,87	74,599.11			74,599.11		0.00
Ending C							-1,19	98,643.74			98,643.74		0.00
Ending S	ettled Cash						-7	14,209.09		-7	14,209.09		0.00
Ţ													
Ор	en Positio	ns											?
Op Symbol	en Positio Open	ns Quantity	Mult	Cost Price		Cost Bas	is Clos	se Price	V	/alue	Unrealiz	ed P/L	? Code
-			Mult	Cost Price		Cost Bas	is Clos	se Price	V	/alue	Unrealiz	ed P/L	•
Symbol			Mult	Cost Price		Cost Bas	is Clos	se Price	V	/alue	Unrealiz	ed P/L	•
Symbol Stocks			Mult 1	Cost Price 37.385639		Cost Bas 2,074,902.9		se Price 12.9100	2,381,50	5.00	306,	602.05	•
Symbol Stocks USD		Quantity					95 4			5.00	306,		•
Symbol Stocks USD +ALTR Total	Open -	Quantity				2,074,902.9	95 4		2,381,50	5.00	306,	602.05	Code
Symbol Stocks USD +ALTR Total		Quantity				2,074,902.9	95 4		2,381,50	5.00 5.00	306, 306,	602.05	•
Symbol Stocks USD +ALTR Total	Open -	Quantity 55,500	1			2,074,902.9 2,074,902.9	95 4	12.9100	2,381,50 2,381,50	5.00 5.00	306,	602.05	Code
Symbol Stocks USD +ALTR Total	Open - ades	Quantity 55,500	1	37.385639		2,074,902.9 2,074,902.9	95 4 9 5	12.9100	2,381,50 2,381,50	5.00 5.00	306, 306, Realized	602.05 602.05	Code
Symbol Stocks USD +ALTR Total Tra Symbol Stocks	Open - ades	Quantity 55,500	1 Quantity	37.385639		2,074,902.9 2,074,902.9 rice P	95 4 9 5 roceeds C	12.9100 Comm/Fee	2,381,50 2,381,50 Ba	5.00 5.00 asis	306, 306, Realized P/L	602.05 502.05 MTM P/L	? Code
Symbol Stocks USD +ALTR Total Tra Symbol Stocks	Open - ades Date/Time	Quantity 55,500	1	37.385639	C. Pr	2,074,902.9 2,074,902.9 rice P	95 4 9 5	12.9100	2,381,50 2,381,50	5.00 5.00 asis	306, 306, Realized P/L	602.05 602.05	? Code
Symbol Stocks USD +ALTR Total Tra Symbol Stocks USD	Open - ades Date/Time 2015-03- 03,	Quantity 55,500	1 Quantity	37.385639 T. Price	C. Pr	2,074,902.9 2,074,902.9 rice P	95 4 9 5 roceeds C	12.9100 Comm/Fee	2,381,50 2,381,50 Ba	5.00 5.00 asis	306, 306, Realized P/L 0.00	602.05 502.05 MTM P/L	? Code
Symbol Stocks USD +ALTR Total Tra Symbol Stocks USD +ALTR	Open - ades Date/Time 2015-03- 03, 09:42:14 2015-03- 03, 11:08:34 2015-03- 03,	Quantity 55,500	1 Quantity 5,000	37.385639 T. Price 37.1000	C. Pr 36.55	2,074,902.9 2,074,902.9 rice P	95 4 95 roceeds C	32.9100 Comm/Fee -135.00	2,381,50 2,381,50 Ba	.00 .00	306, 306, Realized P/L 0.00	602.05 502.05 MTM P/L	? Code O
Symbol Stocks USD +ALTR Total Tra Symbol Stocks USD +ALTR	Open - ades Date/Time 2015-03- 03, 09:42:14 2015-03- 03, 11:08:34 2015-03- 03, 11:34:08 2015-03- 06,	Quantity 55,500	1 Quantity 5,000 5,000	37.385639 T. Price 37.1000 36.9000	C. Pr 36.55 36.55	2,074,902.9 2,074,902.9 fice P 500 -185	95 4 95 roceeds C ,500.00	-135.00 -135.00	2,381,50 2,381,50 Ba 185,635	.00 .00	306, 306, Realized P/L 0.00 -	602.05 502.05 MTM P/L -2,750.00	? Code O O
Symbol Stocks USD +ALTR Total Tra Symbol Stocks USD +ALTR	Open - ades Date/Time 2015-03- 03, 09:42:14 2015-03- 03, 11:08:34 2015-03- 03, 11:34:08 2015-03-	Quantity 55,500	1 Quantity 5,000 5,000	37.385639 T. Price 37.1000 36.9000 36.7000	C. Pr 36.55 36.55	2,074,902.9 2,074,902.9 sice P 600 -185 600 -184 600 -110	,500.00 ,100.00	-135.00 -135.00 -85.00	2,381,50 2,381,50 Ba 185,635 184,635	.00 .00 .87	306, 306, Realized P/L 0.00 -	602.05 502.05 MTM P/L -2,750.00 -450.00	Code ? Code O O P;O

	2015-03-										
	12, 13:27:06										
	2015-03-		2.065	34.849099	35 0500	71 062 20	-61.62	72,025.02	0.00	435.51	P;O
+ <u>></u>	13, 12:52:47	-	2,065	34.849099	33.0000	-71,963.39	-01.02	72,023.02	0.00	455.51	Ρ,Ο
+ALTR	2015-03- 13, 13:02:27	-	2,935	34.890562	35.0600	-102,403.80	-83.38	102,487.18	0.00	497.30	P;O
+ALTR	2015-03- 13, 15:51:49	-	-2,700	35.050241	35.0600	94,635.65	-13.16	-96,924.23	-2,301.74	-26.35	P;L;C
+ALTR	2015-03- 18, 12:01:38	-	2,000	35.4500	36.1900	-70,900.00	-60.00	70,960.00	0.00	1,480.00	0
+ALTR	2015-03- 18, 13:48:44	-	2,000	35.3000	36.1900	-70,600.00	-60.00	70,660.00	0.00	1,780.00	P;O
+ALTR	2015-03- 18, 15:51:03	-	-300	36.1110	36.1900	10,833.30	-1.40	-10,761.63	70.27	-23.70	L;C
+ALTR	2015-03- 23, 11:10:36	-	2,460	36.652683	36.3850	-90,165.60	-71.50	90,237.10	0.00	-658.50	P;O
+ALTR	2015-03- 23, 11:14:24	-	2,140	36.6400	36.3850	-78,409.60	-63.50	78,473.10	0.00	-545.70	P;O
(.	2015-03- 24, 14:28:55	-	4,000	35.77855	35.7700	-143,114.20	-110.00	143,224.20	0.00	-34.20	P;O
+ALTR	2015-03- 30, 10:41:04	-	1,000	42.0700	42.8200	-42,070.00	-30.00	42,100.00	0.00	750.00	P;O
+ALTR	2015-03- 30, 10:44:35	-	3,000	42.273133	42.8200	-126,819.40	-85.00	126,904.40	0.00	1,640.60	P;O
+ALTR	2015-03- 30, 10:45:43	-	2,000	42.11905	42.8200	-84,238.10	-60.00	84,298.10	0.00	1,401.90	P;O
+ALTR	2015-03- 30, 10:48:10		1,000	41.8500	42.8200	-41,850.00	-30.00	41,880.00	0.00	970.00	0
+ALTR	2015-03- 30, 10:53:13	-	3,000	41.5000	42.8200	-124,500.00	-85.00	124,585.00	0.00	3,960.00	P;O
+ALTR	2015-03- 30, 11:16:27	: _	1,500	43.304767	42.8200	-64,957.15	-45.00	65,002.15	0.00	-727.15	P;O
Total			47,100			-1,769,130.16	-1,434.56	1,768,333.25	-2,231.47	7,161.84	
+FSL	2015-03- 02, 10:49:41	-	-9,000	39.7000	40.3600	357,300.00	-215.00	-309,440.99	47,644.01	-5,940.00	P;C
(FSL		-9,000		B	357,300.00	-215.00	-309,440.99			D.C
÷		-	-5,000	31.530094	31.2000	157,650.47	-135.00	-164,715.00	-7,199.53	1,650.47	P;C

2015-03-06, 11:41:06 SYF

Total

-5,000

157,650.47 -135.00 -164,715.00 -7,199.53 1,650.47

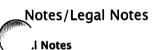
-1,254,179.69 -1,784.56 1,294,177.26 38,213.01 2,872.31

Depos	sits & Withdrawals	?	Interest		?
Date	Description	Amount	Date	Description	Amount
USD			USD		
2015-03-	Internal Transfer In From Account	152,526.47	2015-03-04	USD Debit Interest for Feb-2015	-129.16
02	1764640	·	Total		-129.16
2015-03- 1 <i>7</i>	Internal Transfer In From Account 1764640	65,383.03			
2015-03- 19	Internal Transfer In From Account 1764640	218,333.26			
2015-03- 25	Internal Transfer In From Account 1764640	23,583.39			
Total		459,826.15			
Intere	st Accruals	?			
Base Curren	icy Summary				
Starting Acc	rual Balance	-98.90			
Interest Ad	ccrued	-772.46			
al Re	eversal	129.16			
. ransla	ition	0.00			
Ending Accr	ual Balance	-742.20			

Contract Information Conid Multiplier Symbol Description **Security ID** Stocks **ALTR ALTERA CORP** 266088 BMG3727Q1015 **FSL** FREESCALE SEMICONDUCTOR LTD 88424482 SYF SYNCHRONY FINANCIAL 162231797

? Codes Code (Cont.) Meaning (Cont.) Code Meaning Α **Assignment** LT Long Term P/L Automatic exercise for dividend-related **Direct Loan** Lo **AEx** recommendation. М Entered manually by Broker Adj Adjustment Manual exercise for dividend-related recommendation. MEx Αl Allocation ML Maximize Losses tax basis election Aw **Away Trade** Automatic Buy-in MLG Maximize Long Term Gain tax basis election В MLL Maximize Long Term Loss tax basis election **Direct Borrow** Maximize Short Term Gain tax basis election **MSG Closing Trade MSL** Maximize Short Term Loss tax basis election CD Cash Delivery Cancelled 0 **Opening Trade** Ca

Co	Corrected Trade	l _P	Partial Execution
Cv —	Part or all of this transaction was a Crossing executed	PI	Price Improvement
	as dual agent by IB for two IB customers	1''	The improvement
Ė.	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ер	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
нс	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
ı	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	so	This order was marked as solicited by your introducing Broker
INV	Investment Transfer from Investor	ss	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by Broker (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	Т	Transfer
LI	Last In, First Out (LIFO) tax basis election		



Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Sun Hung Kai Investment Services Limited.

1)Explanations of Abbreviations shown on this statement:

a)NYSE = New York Stock Exchange;

b) NYSE AMEX = American Stock Exchange;

c) ATD = Automated Trading Desk;

d) BTRADE = Bloomberg Tradebook;

e) BOX = Boston Options Exchange;

f) CBSX = CBOE Stock Exchange;

g) CBOE = Chicago Board Options Exchange;

h) CHX = Chicago Stock Exchange;

i) VWAP = IB VWAP Dealing Network;

j) Island = INET;

k) NSX = National Stock Exchange;

PSE = Pacific Exchange;

m)PHLX = Philadelphia Stock Exchange.

attlement date:

- for US Stocks = Trade date + 3 days
- for US Stock Options = Trade date + 1 day

erest Calculation Method

- a) Interest Charged on the following Debit (Negative) Cash Balances:
- (i) Balance <=Tier I (i.e. US\$100,000): Benchmark Rate (BM)+2.5%;
- (ii) Balance > Tier I: BM+2%;
- (iii) Balance > Tier II (i.e. US\$1,000,000): BM+1.5%;
- (iv) Balance > Tier III (i.e. US\$3,000,000): Greater of 1.5% or (BM + 1.25%) where BM for US\$ is the Fed Funds Effective(Overnight Rate), or the relevant market benchmark rate for other currencies.

b)Interest paid on long cash balances and short sales (cash) proceeds = BM)

4)Normal Margin Ratio (i.e. the % of the value of the collateral up to which a client is permitted to borrow):

a)Long stocks position:

Initial and Maintenance Margin = 25% x Stock Value,

Reg T End of Day Margin = 50% x Stock Value;

b)Short stocks position:

(i) Initial Margin = 30% x Stock Value;

'aintenance Margin :

_k Price>= USD 5 : Maximum (Minimum (30% x Leverage Factor (Note), 100%) x Price, USD 5);

Stock Price <= 5 USD: Maximum(100 x Stock Value, USD 2.50 x number of shares;

(iii) Reg T End of Day Margin = 50% x Stock Value.

Note: Leverage Factor = 1/Margin Ratio

- c) Special margin ratio may be applied to a specific security due to small market capitalization or small issue size, low liquidity in the collective primary/ secondary exchanges or involvement in tenders and other corporate action. Please contact Sun Hung Kai Investment Services Limited. (SHKIS) for special margin stocks.
- 5) This statement also serves as a receipt by SHKIS of client assets which have been deposited into the account shown at the (Account Information) section of this statement.
- 6) Credit Limit: the credit limit of each client account is US\$250,000 by default (and is subject to revision by SHKIS from time to time), or other amount as approved by SHKIS.
- 7) Please examine this statement immediately. Unless errors are reported within seven days, this statement will be considered as correct.
- 8) If you suspect that fraudulent activity or any irregularity has occurred with respect to your account(s), you can call our Anti-Fraud Helpline at (852)3920 2980.
- 9) This statement also serves as a receipt required by Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.
 - ess and principal place of business of Sun Hung Kai Investment Services Limited (CE. No.: AAC153).

42/F, The Lee Gardens, 33 Hysan Avenue, Causeway Bay, Hong Kong Tel: (852) 2822 5001

Al Notes for Monthly Statements of Margin Accounts

- (a) You have provided SHKIS with a Standing Authority as defined in section 2 of the Securities and Futures (Client Securities) Rules (Cap. 571 sub. Leg. H), which has not been revoked, authorizing SHKIS to repledge securities collateral provided by you or on your behalf; and
- (b) SHKIS has repledged its securities collateral during this monthly accounting period.

SIPC Member

Generated: 2015-04-09, 12:08:36 EDT

Activity Statement

April 1, 2015 - April 30, 2015

SUSTrader

Account Information

Name

Account Alias Account

Master Name Account Type

Customer Typo Account Capabilities

Trading Permissions

Base Currency

SOU CHENG LAI

2615-16453506-Iscmar624-marg 2615

Sun Hung Kai Investment Services Limited

Broker Client Individual

Reg T Margin

Stocks, Warrants, Forex

USD

Not	Accet	Val	LIA

110(1000(1000	March 31, 2015		April 30, 2015	1	
	Total	Long	Short	Total	Change
sh	-1,198,643,74	56,750.63	0.00	56,750.63	1,255,394.37
.ock	2,381,505.00	567,770.00	0.00	567,770.00	-1,813,735.00
Interest Accruzis	-742.20	0.00	-945.15	-045.15	-202.95
Total	1,182,119.08	624,520.63	-945.15	623,575.48	-558,543.58
Time Weighted Rate of Robin	والمعارية والمحاجد المحاجد	Managara.	22.2		2.49%

Change in NAV	Total
Starting Value	1,182,119.06
Mark-to-Market	71,857.33
Deposits & Withdrawals	-625,000.00
Interest	-784.46
Change in Interest Accruats	-202.95
Commissions	-4,413.50
Ending Value	623,575.48

Mark-to-Market Performance Summary

	Quent	thv .	Price	1		N	SETK-RO-MINITREST PYL		
Symbol	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total
Stocks							4 555 00	0.00	65,093.50
ALTR	55,500	oj	42.9100	-	89,355.00	-22,706.50	-1,555.00		
	•	25,000	_	8,1700	27,950.00	-1,511.90	-1,685.00	0.00	24,753.10
AVP	1	18,000		6.3200	-1,200.00	-1,391.13	-528.50	0.00	-3.119.63
BRDR		5,000		27.4400	-12,650.00	-150.00	-135.00	0.00	-12,935.00
NCR	1	8,000		14.0700	-3,240.00	-962.50	-240.00	0.00	-4.442.50
RDEN		0.000	· <u>-</u>		-150.00	-1,485.64	-270.00	0.00	-1,905.64
SYF	1 0	01			100,065.00	-28,207.67	-4,413.50	0.00	67,443.83
Total				'	140,000.00		•		
Forex	april and the			اممما	0.00	0.00	0.00	0.00	0.00
USD	-1,198,643.74	56,750.63	1,0000	1.0000					0.00
Total				ı	0,00	0.00	0,00	0.00	0.00
1000				i	100,065.00	-28,207.67	-4,413.50	0.00	67,443.83
Total (Ali Assots)					100,000.00	-20,201.01		•.••	

Generated: 2015-05-22, 15:39:30 EDT

		Quantity	!	Price		ı			Mark-to-Markst P			_
ymbol	Prior	Cum	ent	Prior	Current		Position	Transaction	Commissi	ons	Other	To
roker Interest Paid and Rec					-							-784. 68,659.
Total P/L for Statement Per	nod											00,039.
												•
Realized & Unrealize	ed Perform	nance Summ	nary									
	1			Realized				U	nrealized			
ymbol	Cost Adj.	· S/T Profit	S/T Loss	L/T Profit	L/TLcss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	To
tooks											1	
LTR	0.00	371,695.55	0.00	0.00	0.00	371,695.55	0.00	0.00	0.00	0.00	0.00	371,695.
NP .	0.00	21,976.40	0.00	0.00	0.00	21,976.40	2,776.70	0.00	0.00	0.00	2,776.70	24,753.
RDR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-3,119.53	0.00	0.00	-3,119.63	-3,119.6
ICR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-12,935.00	0.00	0.00	-12,935.00	-12,935.0
RDEN	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-4,442.50	0.00	0.00	-4,442.50	-4,442.5
SYF	0.00	0.00	-1,905.64	0.00	0.00	-1,905.64	0.00	0.00	0.00	0.00	0.00	-1,905.6
Total	0.00	393,671.95	-1,905.64	0.00	0.00	391,768.31	2,776.70	-20,497.13	0.00	0.00	-17,720.43	374,045.6
Total (All Assets)	0.00	393,671,95	-1,905.64	0.00	0.00	391,768.31	2,776.70	-20,497.13	. 0.00	0.00	-17,720.43	374,045.
ash Report												
don't topolit												
							Total		Securities	<u> </u>		Future
tarting Cash				gorda and a decrease of the control		-1,198,64	43.74		-1,198,643.74			0.0
Starting Cash Commissions				and a second		-1,198,64 -4,41	43.74 13.50		-1,198,643.74 -4,413.50	4		0.0
Starting Cash Commissions Account Transfers						-1,198,64 -4,41 -625,00	43.74 13.50 00.00		-1,198,643.74 -4,413.50 -625,000.00	4 D		0.0 0.6 0.6
Account Transfers Broker Interest Paid and Re	eceived				- -	-1,198,64 -4,41 -625,00	43.74 13.50 00.00 84.46		-1,198,643.74 -4,413.50	4 D D		0.6 0.6 0.6
starting Cash Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales)	eceived				-	-1,198,64 -4,41 -625,00 -76 2,782,81	43.74 13.50 00.00 84.46 79.86		-1,198,643.74 -4,413.50 -625,000.00	4 D D B B		9.0 9.0 9.0 9.0
starting Cash Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase)	eceived					-1,198.64 -4,41 -625,00 -76 2,782,81 -897,28	43.74 13.50 00.00 84.46 79.86 87.53		-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86	4 0 0 5 5 5		0.0 0.0 0.0 0.0 0.0
Starting Cash Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Ending Cash	eceived					-1,198,64 -4,41 -625,00 -76 2,782,81 -897,26	43.74 13.50 00.00 84.46 79.86 87.53 50.63		-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.5: 56,750.63	4 0 0 5 5 5 3 3		Future 0.0 0.0 0.0 0.0 0.0 0.0 0.0
tarting Cesh Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Inding Cash	aceived					-1,198.64 -4,41 -625,00 -76 2,782,81 -897,28	43.74 13.50 00.00 84.46 79.86 87.53 50.63		-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.53	4 0 0 5 5 5 3 3		0.0 0.0 0.0 0.0 0.0 0.0
tarting Cash Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash	aceived					-1,198,64 -4,41 -625,00 -76 2,782,81 -897,26	43.74 13.50 00.00 84.46 79.86 87.53 50.63		-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.5: 56,750.63	4 0 0 5 5 5 3 3		0.0 0.0 0.0 0.0 0.0 0.0
commissions Commissions Account Transfers Broker Interest Paid and Revertified (Sales) Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions	acaived	Can		Mult Cos	st Price	-1,198,64 -4,41 -625,00 -76 2,782,81 -897,26	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	Close Price	-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.5: 56,750.63	4 0 0 5 5 5 3 3	Unresized	0.0 0.0 0.0 0.0 0.0 0.0 0.0
commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions	eceived	Qu	antity	Mult Cor	st Price	-1,198,64 -4,41 -625,00 -76 2,762,61 -897,21 56,71 137,11	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	Close Price	-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.5: 56,750.63	4 0 0 5 5 5 3 3 3	Unrealized	0.0 0.0 0.0 0.0 0.0 0.0
commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Ending Cash Ending Settled Cash Open Positions Symbol Modula	eceived	Qua	entity	Mulk Cos	st Price	-1,198,64 -4,41 -625,00 -76 2,762,61 -897,21 56,71 137,11	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	Close Price	-1,198,643.74 -4,413.56 -625,000.06 -784.46 2,782,879.86 -897,287.5: 56,750.63	4 0 0 5 5 5 3 3 3	Unrealized	0.0 0.0 0.0 0.0 0.0 0.0
tarting Cash Commissions Account Transfers Broker Interest Paid and Ro Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions Symbol Stocks	aceived		antity		st Price 058932	-1,198,64 -4,41 -625,00 -76 2,762,61 -897,21 56,71 137,11	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	Close Price	-1,198,643.7- -4,413.5(-625,000.00 -794.4(2,782,879.8(-897,287.5; 56,750.6; 137,137.9(4 0 0 5 5 5 3 3 3	Unresized	0. 0. 0. 0. 0. 0. 0.
tarting Cash Commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions Symbol Stocks ISD	aceived	25		1 8.0		-1,198,64 -4,41 -625,00 -77 2,782,61 -897,25 56,71 137,13	43.74 13.50 00.00 64.46 79.86 87.53 50.63 37.90		-1,198,643.74 -4,413.54 -625,000.00 -784.44 2,782,879.84 -897,287.55 56,750.65 137,137.94	4 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		0.4 0.4 0.4 0.1 0.1 0.1
commissions Account Transfers Broker Interest Paid and Re Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions Symbol Stocks ISD IVP	aceived	25 18	5,000 1,000	1 8.0 1 6.4	058932	-1,198,64 -4,41 -62500 -76 2,762,81 -897,21 56,71 137,13	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	8.1700	-1,198,643.74 -4,413.54 -625,000.00 -784.44 2,782,879.84 -897,287.55 56,750.65 137,137.94	Value	2.776	0.6 0.6 0.6 0.6 0.6 0.6 0.6 0.6 0.6
commissions Account Transfers Broker Interest Paid and Revert Paids (Sales) Net Trades (Sales) Net Trades (Purchase) Inding Cash Inding Settled Cash Open Positions Symbol	eceived	25 18 5	5,000	1 8.0 1 6.4 1 3	058932 193313	-1,198,64 -4,41 -625,00 -76 2,762,61 -897,21 56,74 137,11 Cost Bas 201,473,3 116,879,6	43.74 13.50 00.00 84.46 79.86 87.53 50.63 37.90	8.1700 6.3200	-1,198,643.74 -4,413.54 -625,000.00 -784.44 2,782,879.84 -897,287.55 56,750.63 137,137.90	Vakes 1,250.00 3,760.00	2,776 -3,119	0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0

Trades										
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Besis	Realized P/L	MTM P/L	Code
Stocia									-	•
USD										
ALTR	2015-04-10, 11:32:21	3,000	43.8000	44.5200	131,400.00	-85.00	-110,308.22	21,006.78	-2,160.00	P;C
ALTR	2015-04-10, 11:38:35	-3,000	43.7500	44.5200	131,250.00	-85.00	-110,851.82	20,313.18	-2,310.00	С
ALTR	2015-04-10, 11:39:09	-3,000	43.8000	44.5200	131,400.00	-85.00	-111,381.00	19,934.00	-2,160.00	P;C
ALTR	2015-04-10, 11:52:54	-3,000	43.858333	44.5200	131,575.00	-85.00	-111,061.00	20,429.00	-1,985.00	P:C
ALTR	2015-04-10, 11:52:54	-3,000	43.8500	44.5200	131,550.00	-85.00	-110,781.00	20,684.00	-2.010.00	С
ALTR	2015-04-10, 12:24:38	-3,000	43.9500	44.5200	131,850.00	-85.00	-110,264.47	21,500.53	-1,710.00	P;C
ALTR	2015-04-10, 12:24:40	-3,000	44.0000	44.5200	132,000.00	-85.00	-107,297.20	24,617.80	-1,560.00	С
ALTR	2015-04-10, 12:27:23	-3,000	44.3534	44.5200	133,060.20	-85.00	-106,414.00	26,561.20	-499.80	P;C
ALTR	2015-04-10, 12:28:28	-3,000	44.693667	44.5200	134,081.00	-85.00	-104,634.14	29,361.86	521.00	P;C
ALTR	2015-04-10, 13:29:09	-3,000	44.0000	44.5200	132,000.00	-85.00	-104,699.72	27,215.28	-1,560.00	P;C
ALTR	2015-04-10, 13:31:47	-3,000	44.1000	44.5200	132,300.00	-85.00	-105,654.32	26,560.68	-1,260.00	P;C
ALTR	2015-04-10, 13:38:06	-3,000	44.1000	44.5200	132,300.00	-85.00	-106,860.00	25,355.00	-1,260.00	P;C
ALTR	2015-04-10, 13:45:30	-3,000	44.2000	44.5200	132,600.00	-85.00	-110,032.90	22,482.10	-960.00	P;C
ALTR	2015-04-10, 13:46:00	-3,000	44.3000	44.5200	132,900.00	-85.00	-108,282.70	24,532.30	-660.00	P:C
ALTR	2015-04-10, 13:50:13	-3,000	44.4400	44.5200	133,320.00	-85.00	-113,710.80	19,524 <i>.2</i> 0	-240.00	P;C
ALTR	2015-04-10, 13:59:09	-5,000	44.5500	44.5200	222,750.00	-135.00	-211,202.50	11,412.50	150.00	С
ALTR	2015-04-10, 15:45:38	-5,500	43.966782	44.5200	241,817.30	-145.00	-231,467.15	10,205.15	-3,042.70	P;C
Total ALTR		-55,500			2,448,153.50	-1,555.00	-2,074,902.85	371,695.55	-22,708.50	
ΥP	2015-04-10, 14:20:13	5,000	7.9497	7.9000	-39,748.50	-135.00	39,883.50	0.00	-248.50	P;O
P	2015-04-10, 14:28:58	5,000	7.9399	7.9000	-39,699.50	-135.00	39,834.50	0.00	-199.50	P;O
.VP	2015-04-10, 14:54:35	5,000	7.9500	7.9000	-39,750.00	-135.00	39,885.00	0.00	-250.00	P;O
AVP	2015-04-10, 14:55:15	5,000	7.96312	7.9000	-39,815.60	-135.00	39,950.60	0.00	-315.60	P:O
AVP	2015-04-10, 15:48:34	5,000	7.9299	7.9000	-39,649.50	-135.00	39,784.50	0.00	-149.50	P;O
AVP	2015-04-13, 13:23:50	5,000	8.07976	8.0100	-40,398.80	-135.00	40,533.80	0.00	-348.80	P;O
AVP	2015-04-13, 13:24:55	5,000	8.0700	8.0100	-40,350.00	-135.00	40,485.00	0.00	-300.00	0
AVP	2015-04-13, 13:50:21	5,000	8.0600	8.0100	-40,300.00	-135.00	40,435.00	0.00	-250.00	0
AVP	2015-04-13, 15:59:21	5,000	8.0200	8.0100	-40,100.00	-135.00	40,235.00	0.00	-50.00	P;O
AVP	2015-04-14, 13:32:43	-10,000	9.2000	9.1500	92,000.00	-235.00	-79,718.00	12,047.00	500.00	P;C
AVP	2015-04-23, 15:40:44	-10,000	9.0000	8.9900	90,000.00	-235.00	-79,835.60	9,929.40	100.00	P;C
Total AVP	• •	25,000			-177,811.90	-1,685.00	201,473.30	21,976.40	-1,511.90	
BRDR	2015-04-29, 11:32:28	1,700	6.5800	6.4700	-11,152.00	-51.00	11,203.00	0.00	-153.00	P;O
BRDR	2015-04-29, 12:10:54	2,300	6.583209	6.4700	-15,141.38	-67.50	15,208.88	0.00	-260.38	P;O
BRDR	2015-04-29, 12:26:13	2,000	6.5670	6.4700	-13,134.00	-60.00	13,194.00	0.00	-194.00	P;O
BRDR	2015-04-29, 13:09:53	2,000	6.5598	6.4700	-13,119.60	-60.00	13,179.60	0.00	-179.60	P;O
BRDR	2015-04-30, 11:57:09	2,000	6.382075	6.3200	-12,764.15	-60.00	12,824.15	0.00	-124.15	P;O
BRDR	2015-04-30, 12:27:09	2,000	6.4161	6.3200	-12,832.20	-60.00	12,892.20	0.00	-192.20	P;O P:O
BRDR	2015-04-30, 12:29:22	2,000	6.4275	6.3200	-12,855.00	-60.00	12,915.00	0.00	-215.00	P;O
BRDR	2015-04-30, 15:19:02	4,000	6.3382	6.3200	-25,352.80	-110.00	25,462.80	0.00 0.00	-72.80 -4 904 49	P,U
Total BRDR		18,000			-116,351.13	-528.50	116,879.63	0.00	-1,391.13 -150.00	P:O
NCR	2015-04-16, 15:20:25	5,000	30.0000	29.9700	-150,000.00	-135.00 -135.00	150,135.00 150,135.00	0.00 0.00	-150.00 -150.00	P.0
Total NCR		5,000	7070	44.8460	-150,000.00	-1 35.00	29,594.40	0.00	-314.40	P:O
RDEN	2015-04-29, 11:33:58	2,000	14.7672	14.6100	-29,534.40	-60.00 -60.00	29,594.40	0.00	-314.40	P;O
RDEN	2015-04-29, 11:39:33	2,000	14.78665	14.6100	-29,573.30 -29,300.00	-60.00	29,033.30 29,360.00	0.00	-333.30	P:O
RDEN	2015-04-29, 12:14:33	2,000	14.6500	14.6100	-29,300.00	-00.00	29,300.00	0.00	-00.00	1,5

Activity Statement - April 1, 2015 - April 30, 2015

Trades									****	
RDEN	2015-04-30, 12:00:59	2,000	14.1774	14.0700	-28,354.80	-60.00	28,414.80	0.00	-214.80 -982.50	P;O
Total RDEN		000,8	20.0704	30.7700	-116,762.50 -154,362.00	-240.00 -135.00	117,002.50 154,497.00	0.00 0.00	-902.50 -512.00	P;O
SYF	2015-04-16, 14:40:12	5,000	30.8724			-135.00	-154,497.00	-1,905.64	-973.64	P;C
SYF	2015-04-29, 11:31:03	-5,000 0	30.545272	30.7400	152,726.36 -1,635.64	-270.00	0.00	-1,905.64	-1,485.64	F,0
Total SYF		.			1,885,592.33	-4,413.50	-1,489,412.52	391,766.31	-28,207.67	
Total					1,000,092.33	~4,413.0U	-1,000,12.02	381,700.31	-20,207.07	
Interest Accruals				Deposits	& Withdrawa	ls				
Base Currency Summary				Data	Descriptio					Amount
Starting Accrual Balance			-742.20	USD						
Interest Accrued			-987.41	2015-04-16	Internal T	ransfer Out To	Account 1764640		-2	75,000.00
Accrual Roversal			784.46	2015-04-22	Internal T	ransfer Out To	Account 1764640		-3	50,000.00
FX Translation			0.00	Total					-8	25,000.00
Ending Accrual Balance			-945.15							
				Interest						
				Date	Description	vn.				Amount
				USD						
					USD Deb	it Interest for M	ar-2015			-784.46
				2015-04-06 Total	USD Deb	it Interest for M	ar-2015			-784.46 - 784.48
				2015-04-06	USD Deb	it Interest for M	ar-2015			
				2015-04-06	USD Deb	it Interest for M	ar-2015			
				2015-04-06	USD Deb	it Interest for M	ar-2015			
Contract Information				2015-04-06 Total	USD Deb	it Interest for M	ar-2015			
Symbol	Description	Conid	Security ID	2015-04-06	USD Deb	it Interest for M	ar-2015			
Symbol Stocks			Security ID	2015-04-06 Total	USD Dob	it Interest for M	ar-2015			
Symbol Stocks ALTR	ALTERA CORP	266088	Security ID	2015-04-06 Total	USD Dob	it Interest for M	ar-2015	· Management of the second		
Symbol Stocks ALTR AVP	ALTERA CORP AVON PRODUCTS INC	266088 4685	Security ID	2015-04-06 Total Multiplior	USD Deb	it Interest for M	ar-2015			
Symbol Stocks ALTR AVP BRDR	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC	266088 4685 146445449	Security ID	2015-04-06 Total Muttiplior	USD Deb	it Interest for M	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION	266088 4685 146445449 2381302	Security ID	2015-04-06 Total Muttiplior	USD Deb	it Interest for M	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC	265088 4685 146445449 2381302 4730853	Security ID	2015-04-06 Total Muttiplior 1 1 1 1	USD Deb	it Interest for M	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION	266088 4685 146445449 2381302	Security ID	2015-04-06 Total Muttiplior	USD Deb	it Interest for M	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC	265088 4685 146445449 2381302 4730853		2015-04-06 Total Muttiplier 1 1 1 1			ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL	265088 4685 146445449 2381302 4730853		2015-04-06 Total Multiplier 1 1 1 1 1 Code (Cont.)	Meaning (Co	nt.)	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assignm	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Muttiplier 1 1 1 1 1 Code (Cent.)	Meening (Co Long Term P	nt.)	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assignm	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Multiplior 1 1 1 1 1 Code (Cont.)	Meaning (Co Long Term P. Direct Loan	nt.)	ar-2015			
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assignr AEX Automa Adj Adjustn	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL 9 nent tité exercise for dividend-retated recommendation	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Multiplior 1 1 1 1 1 Code (Cont.) LT	Meaning (Co Long Term P Direct Loan Entered man	nt.) /L wally by Broker				
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assignr AEx Automa	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL 9 nent tité exercise for dividend-retated recommendation	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Muttiplior 1 1 1 1 1 Code (Cont.) LT Lo M MEx	Meaning (Co Long Term P, Direct Loan Entered man Manual exerc	nt.) L ually by Broker ise for dividence	I-related recommend	dation.		
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assign AEx Automa Adj Adjustin AI Allocati Aw Away T	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL g ment tic exercise for dividend-related recommendation	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Multiplier 1 1 1 1 1 Code (Cont.) LT Lo M MEX ML	Meaning (Co Long Term P Direct Loan Entert Loan Manual exerc Maximize Los	nt.) /L ually by Broker ise for dividenc ises tax basis e	I-related recommens	dation.		
Symbol Stocks ALTR AVP BRDR NCR RDEN SYF Codes Code Meanin A Assign AEx Automa Adj Adjustin AI Allocati Aw Away T	ALTERA CORP AVON PRODUCTS INC BORDERFREE INC NCR CORPORATION ELIZABETH ARDEN INC SYNCHRONY FINANCIAL 9 nent titic exercise for dividend-related recommendation ent on rade titic Buy-in	266088 4685 146445449 2381302 4730853 162231797		2015-04-06 Total Muttiplior 1 1 1 1 1 Code (Cont.) LT Lo M MEx	Mounting (Co Long Term P. Direct Loan Entered man Manual exerc Maximize Los Maximize Los	nt.) /L ually by Broker ise for dividenc ises tax basis o ng Term Gain ti	I-related recommend	dation.		

Codes

Codes			
Code	Moaning	Code (Cont.)	Meaning (Cont.)
С	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
Ca	Cancelled	0	Opening Trade
Co	Corrected Trade	P	Partial Execution
Сх	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI	Price Improvement
ETF	ETF Creation/Redemption	Po	Interest or Dividend Accrual Posting
Ер	Resulted from an Expired Position	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ex	Exercise	R	Dividend Reinvestment
G	Trade in Guaranteed Account Segment	RED	Redemption to Investor
HC	Highest Cost tax basis election	Re	Interest or Dividend Accrual Reversal
HFI	Investment Transferred to Hedge Fund	Ri	Reimbursement
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
1	Internal Transfer	SL	Specific Lot tax basis election
IA	This transaction was executed against an IB affiliate	so	This order was marked as solicited by your Introducing Broker
INV	Investment Transfer from Investor	ss	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
L	Ordered by Broker (Margin Violation)	ST	Short Term P/L
LD	Adjusted by Loss Disallowed from Wash Sale	Т	Transfer
U	Last In, First Out (LIFO) tax basis election		

Notes/Legal Notes

Logal Notes
Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Sun Hung Kai Investment Services Limited.

Activity Statement - April 1, 2015 - April 30, 2015

Notes/Legal Notes 1)Explanations of Abbreviations shown on this statement: a)NYSE = New York Stock Exchange; b) NYSE ANEX = American Stock Exchange; c) ATD = Automated Trading Deak; d) BTRADE = Bloomberg Trading Deak; d) CBGE = Cheange Band Options Exchange; d) CBGE = Cheange Band Options Exchange; d) VWAP = Bloomberg Trading Network; d) State (Bloomberg Trading Network; d) State (Bloomberg Trading Network); d) NSC = National Stock Exchange; m)PRLX = Philadelphia Stock Exchange; m)PRLX

c) Special margin ratio may be applied to a specific security due to small market capitalization or small issue size, low liquidity in the collective primaryl secondary exchanges or involvement in tenders and other corporate action. Please contact Sun Hung Kai Investment Services Limited.(SHKIS) for special margin stocks.

- 5) This statement also serves as a receipt by SHKIS of client assets which have been deposited into the account shown at the (Account Information) section of this statement.
- 6) Credit Limit: the credit limit of each client account is US\$250,000 by default (and is subject to revision by SHKIS from time to time), or other amount as approved by SHKIS.
- 7) Please examine this statement immediately. Unless errors are reported within seven days, this statement will be considered as correct
- 8) If you suspect that fraudulent activity or any irregularity has occurred with respect to your account(s), you can call our Anti-Fraud Helpline at (852)3920 2980.
- 9) This statement also serves as a receipt required by Securities and Futures (Contract Notes, Statement of Account and Receipts) Rules.

Address and principal place of business of Sun Hung Kai Investment Services Limited (CE. No.: AAC153)

42/F, The Lee Gardons, 33 Hysan Avenue, Causeway Bay, Hong Kong Tel: (852) 2822 5001

Special Notes for Monthly Statements of Margin Accounts

Note: Leverage Factor = 1/Margin Ratio

- (e) You have provided SHKIS with a Standing Authority as defined in section 2 of the Securities and Futures (Client Securities) Rules (Cap. 571 sub. Leg. H), which has not been revoked, authorizing SHKIS to repledge securities collateral provided by you or on your behalf, and
- (b) SHKIS has repledged its securities collateral during this monthly accounting period.

SIPC Member

Activity Statement - April 1, 2015 - April 30, 2015

DECLARATION OF MARTIN J. WARD CERTIFYING RECORDS OF REGULARLY CONDUCTED BUSINESS ACTIVITY

I, the undersigned, Martin J. Ward, pursuant to 28 U.S.C. § 1746, declare that:

- 1. I am employed by Interactive Brokers LLC as Compliance Counsel and by reason of my position am authorized and qualified to make this declaration. In the course of my employment with Interactive Brokers LLC, I regularly produce documents and records of Interactive Brokers LLC customer accounts to securities industry regulators and law enforcement agencies. It is my responsibility in my capacity as Compliance Counsel to ensure that the records produced are legally produced to the requesting agency, accurate and complete. I have done so with Interactive Brokers LLC's production in this matter.
- 2. I further certify that the documents submitted herewith are true copies of records that were:
 - (a) made at or near the time of the occurrence of the matters set forth therein, by, or from information transmitted by, a person with knowledge of those matters;
 - (b) kept in the course of regularly conducted business activity; and
 - (c) made by the regularly conducted business activity as a regular practice.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on March 16, 2017.

Martin J. Ward